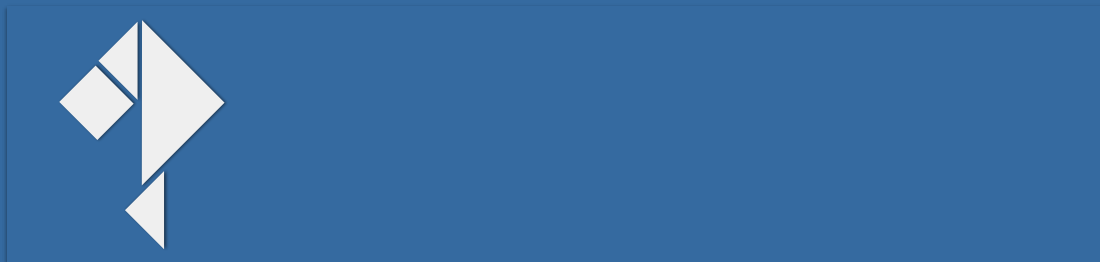


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# Why3: Computational Real Numbers

MPRI Project Report

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Throughout this project, I installed and used the following solvers:

Solver	Version
Alt-Ergo	2.2.0
CVC4	1.6
Z3	4.8.4
CVC3	2.4.1
Eprover	2.2
Spass	3.7

Most of the assertions were proved with Alt-Ergo and CVC4 (less often with Z3, and even more rarely with CVC3, Eprover and Spass). As a macOS user, the installation of Z3 was problematic (its “counterexample” counterpart was the only one to be recognized by the Why3 IDE), so much so that I had choice but to modify my `.why3.conf` file by explicitly adding a block enforcing the use of Z3:

```
[prover]
command = "z3 -smt2 -T:%t sat.random_seed=42 nlsat.randomize=false smt.random_seed=42 %f"
command_steps = "z3 -smt2 sat.random_seed=42 nlsat.randomize=false smt.random_seed=42
memory_max_alloc_count=%S %f"
driver = "z3_440"
editor = ""
in_place = false
interactive = false
name = "Z3"
shortcut = "z3"
version = "4.8.4"
```

## 2. Functions on Integers

### Q1-4. Give an implementation of

#### `power2`, `shift_left` using `power2`

- `power2` and `shift_left` are straightforward: the only notable point is the **for** loop invariant in `power2`:

```
let res = ref 1 in
for i=0 to l-1 do invariant { !res = power 2 i }
  res *= 2
done;
!res
```

which expresses the fact that the reference variable `res` stores the suitable power of 2 at each iteration, and trivially ensures that the postcondition holds:

- at the last iteration:

- \* !res contains  $2^{l-1}$  at the beginning of the body loop
- \* its value is then doubled, which results in !res being equal to  $2^l$
- one exits the loop, and !res yielded at the end, whence satisfying the postcondition  $result = power\ 2\ l$  of power2

### ediv\_mod, and shift\_right using ediv\_mod.

- given ediv\_mod and power2, shift\_right is easily defined as `let d, _ = ediv_mod z (power2 l) in d` and poses no difficulty.
- ediv\_mod is slightly more tricky, but nothing to be afraid of: d and r are respectively the quotient and the rest of the well-known euclidean division of x by  $y > 0$ .

1. we first tackle the case where  $x = \overset{\text{denoted by } x\_abs}{\widehat{|x|}} \geq 0$ : as it happens,

```

let x_abs = if x >= 0 then x else -x in
let d = ref 0 in
let r = ref x_abs in
while !r >= y do
  invariant { !r >= 0 && x_abs = !d * y + !r }
  variant { !r }
  incr d;
  r -= y
done;

```

- the invariant  $r \geq 0 \wedge x\_abs = dy + r$  is initially true, and remains so at each iteration of the loop as  $d$  (resp.  $r$ ) is incremented (resp. decremented) by 1 (resp.  $y$ ).
- the **while** loop condition  $r \geq y$  and the fact that  $y > 0$  (precondition requirement of ediv\_mod) justify the decreasing and well-founded variant !r
- at the end the **while** loop:
  - \*  $0 \leq r < y$
  - \*  $x\_abs = dy + r$

which provides a trivially correct implementation of the euclidean division, provided  $x \geq 0$

2. otherwise, if  $x < 0$ , we reduce this to the previous case, by computing the corresponding  $d\_abs$  and  $r\_abs$  for  $x\_abs = |x| = -x$

- if  $r\_abs = 0$ : then  $x\_abs = d\_abs \times y$ , and  $x = (-d\_abs) \times y$ .

One yields  $d \stackrel{\text{def}}{=} -d\_abs$ ,  $r \stackrel{\text{def}}{=} 0$ . This is easily discharged by CVC4 (we can even go as far as to add the extra assertion `assert { x = - !d * y }` to help the provers, but it shouldn't be necessary).

- else if  $r\_abs > 0$ : then

$$\begin{cases} 0 \leq y - r\_abs < y \\ x = -x\_abs = -d\_abs y - r\_abs = (-d\_abs - 1) y + (y - r\_abs) \end{cases}$$

Therefore, one yields  $d \stackrel{\text{def}}{=} -d\_abs - 1$ ,  $r \stackrel{\text{def}}{=} y - r\_abs$ .

This is discharged by CVC4 too, but we can add the assertion `assert { x = (- !d - 1)* y + y - !r && 0 <= y - !r < y }` to convince the provers.

### Q5. Give an implementation of `isqrt`

When it comes to the sheer body of the function, as seen in class:

```
let function isqrt (n:int) : int
  requires { 0 <= n }
  ensures { result = floor (sqrt (from_int n)) }
  =
    let count = ref 0 in
    let sum = ref 1 in
    while !sum <= n do
      incr count;
      sum += 2 * !count + 1
    done;
    !count
```

However, proving the postcondition `result = floor (sqrt (from_int n))` turns out to be trickier than [the one we saw in class](#) (i.e. `sqr !count <= !n < sqr (!count + 1)`), in so far as all the specification pertaining to `floor` in the standard library is:

```
function floor real : int

axiom Floor_int :
  forall i:int. floor (from_int i) = i

axiom Floor_down:
  forall x:real. from_int (floor x) <= x < from_int (Int.(+) (floor x) 1)

axiom Floor_monotonic:
  forall x y:real. x <= y -> Int.(<=) (floor x) (floor y)
```

That is, the standard-library properties related to `[•]` on which the provers can rely are:

- `[•]` is increasing and left inverse of `from_int`
- and more importantly:

$$\forall n \in \mathbb{Z}, n = \lfloor x \rfloor \implies n \leq x < n + 1 \quad \textcircled{*}$$

On top of that, `sqrt` is only [assumed to be increasing](#), and not strictly increasing.

As a result, we:

- *neither* have the converse of `⊗` (which is exactly the direction needed to prove the postcondition!)
- *nor* do we have the fact that  $\sqrt{\bullet}$  is strictly increasing (which is problematic when dealing with strict inequalities).

So, which assertions were added to prove `isqrt`?

- concerning the `while` loop: nothing special, we proceed exactly as seen in class, apart from the extra variant: `variant {n - !sum}` which is easily seen to be strictly decreasing and well-founded.

- at the end of the loop:

$$0 \leq \text{count} \quad \text{and} \quad \text{count}^2 \leq n < \text{sum} = (\text{count} + 1)^2$$

therefore, due to  $\sqrt{\bullet}$  being strictly increasing and  $\text{count} \geq 0$ :

$$\text{count} \leq \sqrt{n} < \text{count} + 1$$

and the converse of  $\otimes$  would yield the expected postcondition.

But to convince the provers, based solely on the standard-library specification, we proceed as follows:

- we first show that  $\text{count} \leq \lfloor \sqrt{n} \rfloor$ , which only resorts to  $\lfloor \bullet \rfloor$  and  $\sqrt{\bullet}$  being increasing and  $\sqrt{\bullet}$  being a left inverse of  $\bullet^2$  on  $\mathbb{R}^+$  (axiom `Square_sqrt` of the `standard library`).
- we then show the reverse inequality, that is:  $\lfloor \sqrt{n} \rfloor < \text{count} + 1$  in a similar fashion. Except that this one is a bit trickier, as  $\sqrt{\bullet}$  is not assumed to be strictly increasing, but we can get away with it by treating strict inequalities as being equivalent to non-strict ones *and* non-equalities.

### 3. Difficulty with Non-linear Arithmetic on Real Numbers

#### 3.1 Power Function

##### Q6-12. Prove that

1. `_B` is positive
2. `_B n` × `_B m` = `_B(n + m)`
3. `_B n` × `_B(-n)` = 1
4.  $0 \leq a \implies \sqrt{a \times \_B(2n)} = \sqrt{a} \times \_B n$
5.  $0 \leq y \implies \_B y = \text{from\_int } 4^y$
6.  $y < 0 \implies \_B y = \frac{1}{\text{from\_int } 4^{-y}}$
7.  $0 \leq y \implies 2^{2y} = 4^y$

All these lemmas but the 5th and the 6th ones are straightforwardly discharged:

- for the 5th one (`_B_spec_pos`): we lend a hand to the provers with the command `assert (pow (from_int 4) (from_int n) = from_int (power 4 n))`:

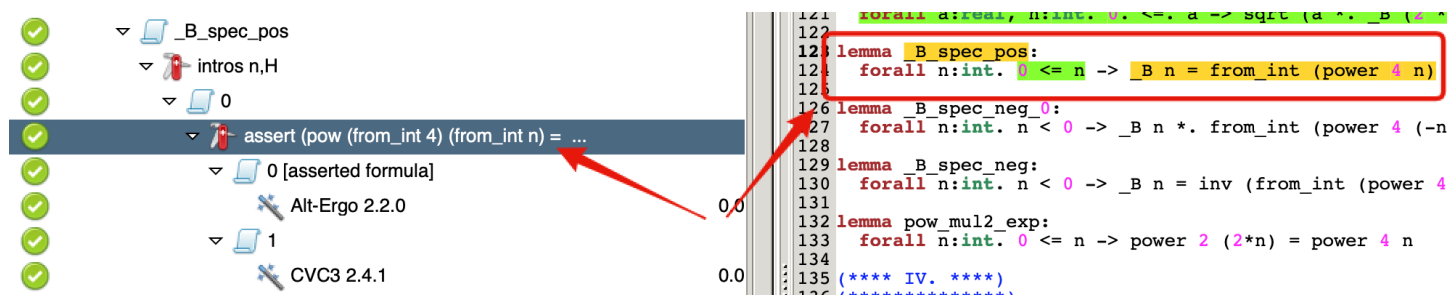


Figure 1: Why3 IDE: use of the `assert` command to prove `_B_spec_pos`

- for the 6th one (`_B_spec_neg`), we first prove an easily discharged (by Alt-Ergo) lemma:

```
lemma _B_spec_neg_0:
  forall n:int. n < 0 -> _B n *. from_int (power 4 (-n)) = 1.
```

from which `_B_spec_neg` immediately ensues.

## 4. Computational Real Numbers

### Q13. Could you find a reason why this definition is better than the other for automatic provers?

- When it comes to using two inequalities rather than the terser (and perhaps more elegant)

$$|x - p 4^{-n}| < 4^{-n}$$

the two-inequalities version has the advantage of not involving the absolute value `abs`, which would just be a burden when proving framing-related postconditions. Indeed, almost every time we would want to show a non-trivial framing (first needing to unfold `abs`), provers would eventually have to resort to [the `Abs\_le` lemma of the standard library](#), leading to unnecessary proof clutter.

- As for using `_B`: this fosters the use of the relevant lemmas proved in section 3.6 by the provers, bringing about more efficient proofs.

### Q14. Prove these three functions

#### `round_z_over_4`

By dint of assertions, we show the two postconditions inequalities separately:

- $$\text{from\_int } \underbrace{(\text{shift\_right } (z+2) 2)}_{=(z+2)//2^2} \leq (\text{from\_int } z + 2) \times \_B(-1)$$

where `//` stands for the euclidean division quotient, which directly stems from

$$4((z+2) // 2^2) \leq z+2 \quad (\text{euclidean division})$$

- Similarly (the `from_int`'s will be omitted from now on):

$$z - 2 < 4 \times \underbrace{\text{shift\_right } (z+2) 2}_{=(z+2)//2^2}$$

due to

$$z - 2 < z + 2 - \underbrace{((z+2) \bmod 2^2)}_{<4} = 4((z+2) // 2^2)$$

#### `compute_round` and `compute_add`

- For `compute_round`, assuming

$$(z_p - 2) \times \_B(-(n + 1)) < z \leq (z_p + 2) \times \_B(-(n + 1))$$

we show that

$$\underbrace{(\text{shift\_right } (z_p + 2) 2 - 1)}_{=(z_p+2)//2^2} \times \_B(-n) < z < ((z_p + 2) // 2^2 + 1) \times \_B(-n)$$

by means of two assertions (one for each inequality). Indeed:

$$\begin{aligned} ((z_p + 2) // 2^2 - 1) \times \_B(-n) &\leq \left( \underbrace{\frac{z_p + 2}{4} - 1}_{=\frac{z_p - 2}{4}} \right) \times \_B(-n) && \text{since } 4((z_p + 2) // 2^2) \leq z_p + 2 \\ &= \frac{z_p - 2}{4} \times \_B(-n) \\ &= (z_p - 2) \times \_B(-(n + 1)) \\ &< z \\ &\leq \frac{z_p + 2}{4} \times \_B(-n) \\ &= \left( \frac{z_p - 2}{4} + 1 \right) \times \_B(-n) \\ &< ((z_p + 2) // 2^2 + 1) \times \_B(-n) && \text{since } z_p - 2 < 4((z_p + 2) // 2^2) \text{ as seen before} \end{aligned}$$

- Given `compute_round`'s contract, `compute_add n x xp y yp` is straightforwardly defined as `compute_round n (x +. y) (xp + yp)`

## 4.2 Subtraction

**Q15-16. Define and prove the functions `compute_neg`, `compute_sub` using `compute_neg` and `compute_add`**

Those pose no difficulty:

- `compute_neg n x xp` is nothing more than `-xp`, as demonstrated by multiplying the framing of `x` by `-1`
- `compute_sub n x xp y yp` `compute_adds` `x` and the `compute_neg`'ed approximation of `y`, owing to `x` and `y` being provided at approximation `n + 1`. A little help for the provers: asserting `assert { framing (-.y)yp' (n + 1) }` just before yielding the result.

## 4.3 Conversion of Integer Constants

`compute_cst` is easy on paper, but is a bit thornier in Why3: we show the relevant inequalities in each case

- if `n < 0`:

- $(x // 2^{-2n} - 1) \times \_B(-n) < x$  stems from  $(x // 2^{-2n}) \times \_B(-n) \leq x$  (by definition of the euclidean division) and  $\_B(-n) > 0$
- $x < (x // 2^{-2n} + 1) \times \_B(-n)$  comes from  $x$  being equal to  $(x // 2^{-2n}) \times \_B(-n) + \underbrace{(x \bmod \_B(-n))}_{< \_B(-n)}$

• if  $n \geq 0$ :

- $(x \times 2^{2n} - 1) \times \_B(-n) = \underbrace{x \times 2^{2n} \times \_B(-n)}_{=x} - \underbrace{\_B(-n)}_{>0} < x$
- $x < x + \underbrace{\_B(-n)}_{>0} = x \times 2^{2n} \times \_B(-n) + \_B(-n) = (x \times 2^{2n} + 1) \times \_B(-n)$

## 4.4 Square Root

### Q17. Prove these two relations

It can be noted that, for all  $n \in \mathbb{N}$ :

$$(\sqrt{n+1} - \sqrt{n})(\sqrt{n+1} + \sqrt{n}) = (n+1) - n = 1$$

so that  $\sqrt{n+1} = \sqrt{n} + \underbrace{\frac{1}{\sqrt{n+1} + \sqrt{n}}}_{\text{denoted by } \_sqrt\_incr\ n}$

where  $0 < \_sqrt\_incr\ n \leq 1$

Based on this observation, we show two lemma functions

```

let lemma _sqrt_incr_spec (n:int) : unit
  requires { n >= 0 }
  ensures { sqrt (from_int (n+1)) = sqrt (from_int n) +. _sqrt_incr n }
  =
  (* [...] *) ; ()

let lemma _sqrt_incr_bounds (n:int) : unit
  requires { n >= 0 }
  ensures { 0. <. _sqrt_incr n <=. 1. }
  =
  (* [...] *) ; ()

```

that will come in handy in what follows.

**Relation 1 (sqrt\_ceil\_floor lemma):**  $\lceil \sqrt{n+1} \rceil - 1 \leq \lfloor \sqrt{n} \rfloor$

The outline of the proof on paper is:



$$\begin{aligned}
\lceil \sqrt{n+1} \rceil - 1 &< \lceil \sqrt{n+1} \rceil \\
&= \lceil \sqrt{n} + \text{\_sqrt\_incr } n \rceil && \text{as } \sqrt{n+1} = \sqrt{n} + \text{\_sqrt\_incr } n \\
&\leq \lceil \underbrace{(\lfloor \sqrt{n} \rfloor + 1)}_{\in \mathbb{Z}} + 1 \rceil && \text{since } \begin{cases} \sqrt{n} \leq \lfloor \sqrt{n} \rfloor + 1 \\ \text{\_sqrt\_incr } n \leq 1 \end{cases} \text{ and } \lceil \bullet \rceil \text{ is increasing} \\
&= \underbrace{\lfloor \sqrt{n} \rfloor + 1}_{\text{denoted by } a} + 1
\end{aligned}$$

But we have actually more than that:  $\lceil \sqrt{n+1} \rceil$  is *strictly lower* than  $a + 1$ .

Indeed: if, by contradiction, we had  $\lceil \sqrt{n+1} \rceil = a + 1$ , given that:

$$\sqrt{n} < \lfloor \sqrt{n} \rfloor + 1 = a = \lceil \sqrt{n+1} \rceil - 1 < \sqrt{n+1}$$

it would come that  $n < a^2 < n + 1$ , which is absurd, since  $a^2$  is an integer. So

$$\lceil \sqrt{n+1} \rceil - 1 < \lceil \sqrt{n+1} \rceil < a + 1 = \lfloor \sqrt{n} \rfloor + 2$$

and as all these are integers, the result follows.

The reasoning by contradiction is carried out in Why3 in this way:

```

if ceil x = a+1 then (
  assert { n-1 < a*a < n
           by (* [...] *) };
  absurd);
(* [...] *)

```

**Relation 2 (sqrt\_floor\_floor lemma):**  $\lfloor \sqrt{n} \rfloor \leq \lfloor \sqrt{n-1} \rfloor + 1$

We proceed analogously, everything is similar:

$$\begin{aligned}
\lfloor \sqrt{n} \rfloor &= \lfloor \sqrt{n-1} + \text{\_sqrt\_incr } n \rfloor \\
&\leq \lfloor (\lfloor \sqrt{n-1} \rfloor + 1) + 1 \rfloor \\
&= \underbrace{\lfloor \sqrt{n-1} \rfloor + 1}_{\text{denoted by } a} + 1
\end{aligned}$$

and  $\lfloor \sqrt{n} \rfloor = a + 1$  is impossible, as otherwise  $\sqrt{n-1} < \lfloor \sqrt{n-1} \rfloor + 1 = a = \lfloor \sqrt{n} \rfloor - 1 < \sqrt{n}$ , which would imply  $n - 1 < a^2 < n$ .

### Q18. Prove compute\_sqrt

Assuming that

$$x \geq 0 \quad \text{and} \quad (x_p - 1) \times \_B(-2n) < x < (x_p + 1) \times \_B(-2n)$$

we show that

```
let compute_sqrt (n: int) (ghost x : real) (xp : int)
  = if xp <= 0 then 0 else isqrt xp
```

ensures that the `result` is an  $n$ -framing of  $\sqrt{x}$ .

- if  $x_p \leq 0$ , then:

$$-\_B(-n) < 0 \leq \sqrt{x} < \underbrace{\sqrt{(x_p + 1) \times \_B(-2n)}}_{=1} = \_B(-n)$$

- if  $x_p > 0$ :

$$\begin{aligned} \sqrt{x} < \sqrt{x_p + 1} \times \_B(-n) &\leq \left\lceil \sqrt{x_p + 1} \right\rceil \times \_B(-n) \stackrel{\text{Relation 1}}{\leq} (\lfloor \sqrt{x_p} \rfloor + 1) \times \_B(-n) \\ \sqrt{x} > \sqrt{x_p - 1} \times \_B(-n) &\geq \left\lfloor \sqrt{x_p - 1} \right\rfloor \times \_B(-n) \stackrel{\text{Relation 2}}{\geq} (\underbrace{\lfloor \sqrt{x_p} \rfloor}_{= \text{isqrt } x_p} - 1) \times \_B(-n) \end{aligned}$$

In Why3, we use the same trick as in `isqrt` to get around the fact that `sqrt` is not strictly increasing, by turning some strict inequalities into conjunctions of non-strict ones and non-equalities.

## 4.5 Compute

**Q19-20. Define: `interp` that gives real interpretation of a term, and `wf_term` that checks that square root is adequately applied.**

- `interp` is recursively defined in a forthright manner
- `wf_term` is defined as an inductive predicate. For the time being, the only non-trivial constructor case (that actually does check something, rather than inductively propagating) is `wf_sqrt`: `forall t:term. interp t >= . 0. -> wf_term t -> wf_term (Sqrt t)`, ensuring that `Sqrt` is exclusively applied to terms whose interpretation is non-negative.

## Q21. define and prove the compute function

The first batch of the `compute` function is the following one:

```
let rec compute (t:term) (n:int) : int
  requires { wf_term t }
  ensures { framing (interp t) result n }
  variant { t }
  =
  match t with
  | Cst x -> compute_cst n x
  | Add t' t'' ->
    let xp = compute t' (n+1) in
    let yp = compute t'' (n+1) in
```

```

    compute_add n (interp t') xp (interp t'') yp
  | Neg t' -> compute_neg n (interp t') (compute t' n)
  | Sub t' t'' ->
    let xp = compute t' (n+1) in
    let yp = compute t'' (n+1) in
    compute_sub n (interp t') xp (interp t'') yp
  | Sqrt t' -> compute_sqrt n (interp t') (compute t' (2*n))
end

```

It is defined by structural induction over the term  $t$ , which makes the `variant` trivially correct, and as all the contracts of the auxiliary `compute_***` functions were specially written to ensure the correction of this final `compute`, CVC4 discharges the proof obligations with no trouble.

## 5 Division

### Q22. Prove these two properties

**Notations:** in what follows, we will denote by  $d$  (resp.  $d'$ , resp.  $d''$ ) and  $r$  (resp.  $r'$ , resp.  $r''$ ) the quotient and the rest of the euclidean division of  $a$  by  $b$  (resp.  $b - 1$ , resp.  $b + 1$ ). In other words:

$$\begin{array}{ll}
 a = db + r & 0 \leq r < b \\
 a = d'(b - 1) + r' & 0 \leq r' < b - 1 \\
 a = d''(b + 1) + r'' & 0 \leq r'' < b + 1
 \end{array}$$

### Property 1 (dividend\_incr)

$$\left\{ \begin{array}{l} 0 < a \\ 0 < b \\ d \stackrel{\text{def}}{=} a // b < b \end{array} \right. \implies d'' \stackrel{\text{def}}{=} a // (b + 1) = \begin{cases} d - 1 & \text{if } r \stackrel{\text{def}}{=} a \bmod b < d \\ d & \text{else} \end{cases} \quad \text{(P1.1)} \quad \text{(P1.2)}$$

Assume  $a, b > 0$  and  $d \stackrel{\text{def}}{=} a // b < b$ .

- if  $r \stackrel{\text{def}}{=} a \bmod b < d$ :

Let us show that  $d'' \stackrel{\text{def}}{=} a // (b + 1) = d - 1$ .

To do so, based on the lemma function suggested in the problem statement at the end of section 2 (which is easily proved by CVC4):

```

let lemma euclid_uniq (x y q : int) : unit
  requires { y > 0 }
  requires { q * y <= x < q * y + y }
  ensures { ED.div x y = q }
= ()

```

it suffices to show that

$$(d-1)(b+1) \leq a < d(b+1)$$

And indeed

- $(d-1)(b+1) = db + d - b - 1 \leq db + r = a$  since  $d \leq b - 1 \leq b + r + 1$
- $a = db + r < db + b = d(b+1)$  as  $r < b$

- if  $r \geq d$ :

Let us show that  $d'' = d$ . Similarly:

$$d(b+1) \leq a < (d+1)(b+1)$$

in so far as

- $d(b+1) = db + d \leq db + r = a$
- $a = db + r < db + d + b + 1 = (d+1)(b+1)$  since  $r < b$

### Property 2 (dividend\_decr)

$$\begin{cases} 0 < a \\ 1 < b \\ d \stackrel{\text{def}}{=} a // b < b - 1 \end{cases} \implies d' \stackrel{\text{def}}{=} a // (b-1) = \begin{cases} d+1 & \text{if } b-1-d < r \stackrel{\text{def}}{=} a \bmod b \\ d & \text{else} \end{cases} \quad \begin{matrix} \text{(P2.1)} \\ \text{(P2.2)} \end{matrix}$$

Assume  $a > 0, b > 1$  and  $d \stackrel{\text{def}}{=} a // b < b - 1$ .

- if  $b-1-d \leq r \stackrel{\text{def}}{=} a \bmod b$ :

Let us show that  $d' \stackrel{\text{def}}{=} a // (b-1) = d+1$ . Indeed:

$$(d+1)(b-1) \leq a < (d+2)(b-1)$$

because

- $(d+1)(b-1) = db + b - 1 - d \leq db + r = a$  due to the hypothesis
- $a = db + r < db - d + 2b - 2 = (d+2)(b-1)$  since  $r < b + \underbrace{b-d-2}_{\geq 0}$

- if  $b-1-d > r$ :

Let us show that  $d' = d$ . Similarly:

$$d(b-1) \leq a < (d+1)(b-1)$$

owing to the fact that

- $d(b-1) = db - d \leq db + r = a$  as  $0 < a = db + r < (d+1) \overset{>0}{\tilde{b}}$  hence  $d \geq 0$ , and  $-d \leq 0 \leq r$
- $a = db + r < db - d + b - 1 = (d+1)(b-1)$  because of the hypothesis

The two lemma functions `dividend_incr` and `dividend_decr` closely follow the proof sketches above in the Why3 implementation.

### Q23. Prove the function `inv_simple_simple`

We first prove two routine lemmas (`inv_decreasing`: the fact that `inv` is decreasing over  $\mathbb{R}_+^*$  and `_B_inv`:  $\forall n, \_B n = \frac{1}{\_B(-n)}$ ) that are subsequently used in `inv_simple_simple`.

```

let inv_simple_simple (ghost x:real) (p:int) (n:int)
  requires { framing x p (n+1) }
  requires { 0 ≤ n }
  requires { 1. ≤. x }
  ensures { framing (inv x) result n }
  =
  let k = n + 1 in
  let d,r = ediv_mod (power2 (2*(n+k))) p in
  if 2*r ≤ p then d
  else d+1

```

As far as I am concerned, `inv_simple_simple` was the most nettlesome function, and maybe the most confusing one too at first glance, for the following reason: as pointed out in the problem statement, we can (and we will) prove that

$$d = a // b \leq b - 1 - a // b$$

which ensures that the conditions **P1.1** and **P2.1** cannot happen at the same time, that is: **P1.1**  $\implies$  **P2.2** and **P2.1**  $\implies$  **P1.2**. From there, it is tempting to try to show (in each branch of `inv_simple_simple`'s `if` statement) one the first conditions of one property (**P1.1** or **P2.1**), since, as it happens, the second condition of the other property is obtained for free. But that's a misleading track! We will instead focus on the second conditions of one property (i.e. either **P1.2** or **P2.2**), disregarding the other property altogether (by just settling with the *coarsest upper/lower bound* we get from both of its conditions).

Let's delve into it in more details. Similarly to before, we set

$$\begin{aligned}
 (d, r) &= (4^{n+k} // p, 4^{n+k} \bmod p) \\
 (d', r') &= (4^{n+k} // (p-1), 4^{n+k} \bmod (p-1)) \\
 (d'', r'') &= (4^{n+k} // (p+1), 4^{n+k} \bmod (p+1))
 \end{aligned}$$

- Before entering the `if` statement: we prove a handful of useful assertions

- $4 \leq 4^k \leq p$  and  $4^n \leq \frac{p}{4}$

since  $1 \leq x < (p+1)4^{-k}$ , so  $4^k < p+1$ , whence  $4^k \leq p$ . On top of that:  $k = n+1$  (thus  $4^n \leq \frac{p}{4}$ ) and  $k \geq 1$  (hence  $p \geq 4$ ).

- then, as we have the precondition `framing x p (n+1)` (i.e. `framing x p k`):

$$\frac{4^k}{p+1} < \frac{1}{x} < \frac{4^k}{p-1}$$

therefore

$$d'' \leq \frac{\overbrace{(p+1)d''+r''}^{4^{n+k}}}{p+1} < \frac{4^n}{x} < \frac{\overbrace{(p-1)d'+r'}^{4^{n+k}}}{p-1} \leq d' + 1$$

-  $d \leq \frac{p-1}{2}$ . Indeed:

$$\begin{aligned} d &= \frac{4^{n+k} - r}{p} \leq \frac{p-1}{2} \\ \Leftrightarrow 4^{n+k} - r &\leq \frac{p(p-1)}{2} \\ \Leftarrow 4^{n+k} &\leq \frac{p(p-1)}{2} \\ \Leftarrow \frac{p^2}{4} &\leq \frac{p(p-1)}{2} \\ \Leftarrow \frac{p}{2} &\leq p-1 \\ \Leftarrow 2 &\leq p \end{aligned} \qquad \text{which is true as } p \geq 4$$

- last but not least (before entering the **if**): the *coarsest bounds* we hinted at earlier:

- \* due to **P2**:  $d' \leq d + 1$
- \* due to **P1**:  $d - 1 \leq d''$

• Inside the **if** statement:

- **if**  $2r \leq p$ :

- \* Let us show that  $r + 1 \leq p - 1 - d$ :

$$\begin{aligned} r + d + 1 &< p \\ \Leftrightarrow rp + \underbrace{dp}_{=4^{n+k}-r \leq \frac{p^2}{4}-r} + p &< p^2 \\ \Leftarrow \underbrace{r}_{\leq \frac{p}{2}}(p-1) + \frac{p^2}{4} + p &< p^2 \\ \Leftarrow 2p(p-1) + p^2 + 4p &< 4p^2 \\ \Leftrightarrow 0 &< p^2 - 2p = p(p-2) \end{aligned} \qquad \text{which is true as } p \geq 4$$

- \* Thus, by **P2.2**,  $d' = d$ . And consequently:

$$d - 1 \stackrel{\text{coarse bound}}{\leq} d'' < \frac{4^n}{x} < d' + 1 = d + 1$$

- **if**  $2r > p$ :

- ★ It comes that  $r \geq d$ , since  $2r \geq p + 1 \geq p - 1 \geq 2d$
- ★ Thus, by **P1.2**,  $d'' = d$ . And consequently:

$$(d + 1) - 1 = d'' < \frac{4^n}{x} < d' + 1 \stackrel{\text{coarse bound}}{\leq} (d + 1) + 1$$

### Q24. Prove the function `inv_simple`

`inv_simple` take advantage of the fact that  $1 \leq x \times \_B m$  to resort to `inv_simple_simple`. We are given a  $(n + 1 + 2m)$ -framing of  $x$ :

$$(p - 1) \_B(-(n + 1 + 2m)) < x < (p + 1) \_B(-(n + 1 + 2m))$$

hence  $(p - 1) \_B(-(n + 1 + m)) < x \times \_B m < (p + 1) \_B(-(n + 1 + m))$

and as  $1 \leq x \times \_B m$ , `res = inv_simple_simple (x *. \_B m) p (n+m)` provides a  $(n + m)$ -framing of  $x \times \_B m$ :

$$(res - 1) \_B(-(n + m)) < x \times \_B m < (res + 1) \_B(-(n + m))$$

thus  $(res - 1) \_B(-n) < \underbrace{x \times \_B m \times \_B(-m)}_{=x} < (res + 1) \_B(-n)$

and the result follows.

### Q25. extend the type term

We add

- the `| Inv t' -> inv (interp t')` case in `interp`
- the `| wf_inv: forall t:term. interp t <> 0. -> wf_term t -> wf_term (Inv t)` case in `wf_term`

### Q26-27. prove the correction and termination of both functions

- When it comes to the correction:

nothing really fancier than before: the only new case is `Inv t'`, and `msd` (which is called only there in `compute`) yields an `m` such that  $|\text{interp } t| > \_B(-m)$  (such an `m` always exists provided `interp t`  $\neq 0$ , which is what we assume).

`msd` recursively calls itself until  $|c| \geq 2$  (where `c` is the integer approximating `t`), thus straightforwardly ensuring the correction of the algorithm.

In `compute`, the case where the sign is negative is easily treated, similarly to `compute_neg`, by taking the opposite.

- The termination is a bit more involved because of `msd`:
  - when `compute t n` is called:
    - \* either `t` is structurally smaller
    - \* either `t` remains the same and `compute` has been called inside `msd`

which hints at the fact that an adequate variant would follow a lexicographic order, with the size of `t` as first component (where `size` is defined as expected).

- `msd` stops recursively calling itself as soon as  $|c| > 1$ .
  - \* if `interp t > 0`:
    - then if  $4^n(\text{interp } t) > 2$ , i.e.  $n > \log_4 \frac{2}{\text{interp } t} = \log_4 \frac{2}{|\text{interp } t|}$ , it follows that  $c > 4^n(\text{interp } t) - 1 > 1$
  - \* if `interp t < 0`:
    - then if  $4^n(\text{interp } t) < -2$ , i.e.  $n > \log_4 \frac{-2}{\text{interp } t} = \log_4 \frac{2}{|\text{interp } t|}$ , it follows that  $c < 4^n(\text{interp } t) + 1 < -1$

and each time `msd` is recursively called, `n` is incremented (and it is originally set at 0).

So a good variant is  $(\text{size } t, \lceil \log_4 \frac{2}{|\text{interp } t|} \rceil - n)$  for the lexicographic order, which we can routinely check in Why3 by asserting what was outlined before and adding axiom about the `log` being increasing as suggested at the beginning of the problem statement.

## Bonus

Here is a counter-example: with

- $x \stackrel{\text{def}}{=} -0.6161$
- $n = 2$

It comes that `msd (x) = 1` with  $x_0 = 0, x_1 = -2, \dots, x_5 = -630$ .

Let's run the proposed algorithm on this instance to compute, say,  $\overline{1/x_n}$ .

- $n > -\text{msd } (x) = -1$
- as  $k = n + 2\text{msd } (x) + 1 = 5$  and  $x_5 = -630 \leq 1$ : it comes that

$$\overline{1/x_2} = \left\lfloor \frac{-\mathbf{B}(k+n)}{x_k} \right\rfloor = -27$$

However:

$$(\overline{1/x_n} + 1) \times -\mathbf{B}(-n) = \frac{-27 + 1}{16} \simeq -1.625 < \frac{1}{x} \simeq -1.623$$

so the framing is not correct.



## Conclusion

I didn't find this project particularly easy (especially as I am not keen on real numbers computation usually), but it definitely was a good foray into Why3. The most difficult part was `inv_simple_simple`, due to the fact that I got bogged down in a misleading track (as explained before) by misinterpreting a cue in the problem statement.

With some of my friends, I have jotted down a handful of suggestions about axioms that I think could be good adjuncts to the standard library, and a few features that may enhance the user experience of the Why3 IDE. I will enclose them in a forthcoming email.